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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/886,614
Filing Date	June 22, 2001
First Named Inventor	Daniel Flesner
Group Art Unit	2153
Examiner Name	
Attorney Docket Number	19312.0015

Total Number of Pages in This Submission 32

## ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☒ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/Incomplete Application

☒ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Statement Claiming Small Entity Status

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s)

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify below):

Copy of Notice to File Missing Parts of Nonprovisional Application; Combined Declaration and Power Of Attorney; Two Petitions for Filing When Inventor Cannot be Reached Under 37 CFR 1.47(a); Two Affidavits or Declarations Under 37 CFR 1.47(a) and Exhibits 1-2

Remarks

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FEB 25 2002

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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Chadwick A. Jackson, Reg. No. 46,495

Signature

Date

February 21, 2002

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

Typed or printed name

Signature

Date

# **FEE TRANSMITTAL** **for FY 2001**

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT (\$)** 2,696

## **Complete If Known**

Application Number 09/886,614  
Filing Date June 22, 2001  
First Named Inventor Daniel Flesner  
Examiner Name  
Group / Art Unit 2153  
Attorney Docket No. 19312.0015

### **METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 195127, Order No. 19312.0015

Deposit Account Name Swidler Berlin Shereff Friedman, LLP

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

### **FEE CALCULATION**

#### **1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	740
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	160	214	80	Provisional filing fee	

**SUBTOTAL (1)**

**(\$ 740)**

#### **2. EXTRA CLAIM FEES**

Total Claims	27	-20**	=	7	X	Fee from below	18	=	Fee Paid	126
Independent Claims	3	-3**	=	0	X			=	0	
Multiple Dependent					X			=	0	

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)**

**(\$ 126)**

\*\*or number previously paid, if greater; For Reissues, see above

### **FEE CALCULATION (continued)**

Fee Code	Large Entity Fee (\$)	Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	1,440
128	1,960	228	980	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	620	Petition to revive - unintentional	
142	1,280	242	620	Utility issue fee (or reissue)	
143	460	243	220	Design issue fee	
144	620	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	260
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)**

**(\$ 1,830)**

### **SUBMITTED BY**

Name (Print/Type) Chadwick A. Jackson

Registration No. Attorney/Agent)

46,495

Telephone

202 424-7500

Signature

Date

February 21, 2002

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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Attorney Docket: 19312.0015

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: :  
Daniel Flesner, et al. :  
Application No. 09/886,614 : GROUP ART UNIT: 2153  
Confirmation No. 4358 :  
Filed: June 22, 2001 :  
For: PORTAL SERVER THAT PROVIDES :  
MODIFICATION OF USER :  
INTERFACES FOR ACCESS TO :  
COMPUTER NETWORKS :

**RESPONSE TO "NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION"**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the **NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION** dated August 21, 2001, Applicant submits herewith the following documents for appropriate action by the U.S. Patent and Trademark Office:

1. Copy of the Notice to File Missing Parts;
2. Combined Declaration and Power of Attorney;
3. Two Petitions for Filing When an Inventor Cannot be Reached Under 37 C.F.R. 1.47(a);
4. Two Affidavits or Declarations Under 37 C.F.R. 1.47(a) and Exhibits 1-2;

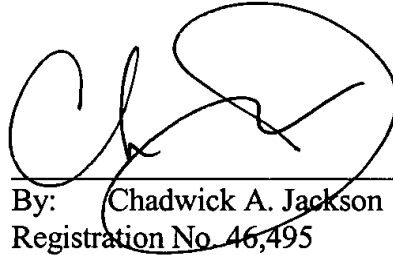
Adjustment date: 05/21/2002 AKELLEY  
02/22/2002 SSANDARA 00000015 195127 09886614  
04 FC:122 130.00 CR

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5. Request for Extension of Time; and
6. Authorization to Charge Additional Fees

The Commissioner is hereby authorized to charge \$130 (late declaration surcharge), \$740 to complete the basic filing fee and \$126 for 7 total claims; \$260 for two Petitions for Filing When An Inventor Cannot Be Reached Under 37 C.F.R. 1.47(a); \$1,440 for Request for Four Month Extension; and charge any additional fees due or credit any overpayment to Deposit Account No. 19-5127 referencing 19312.0015. (The balance due is \$2,696.)

Respectfully submitted,  
Swidler Berlin Shereff Friedman, LLP



By: Chadwick A. Jackson  
Registration No. 46,495

Dated: February 21, 2002

SWIDLER BERLIN SHEREFF FRIEDMAN, LLP  
3000 K Street, N.W., Suite 300  
Washington, D.C. 20007  
(202) 424-7500

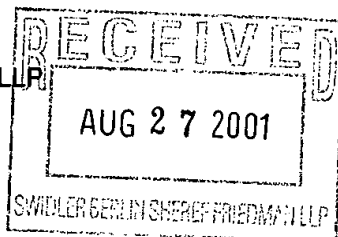


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/886,614	06/22/2001	Daniel Flesner	19312.0015

23517  
SWIDLER BERLIN SHEREFF FRIEDMAN, LLP  
3000 K STREET, NW  
BOX 1P  
WASHINGTON, DC 20007



CONFIRMATION NO. 4358

## FORMALITIES LETTER



\*OC000000006454653\*

Date Mailed: 08/21/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$63.  
▪ \$63 for 7 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 483.

02/22/2002 SSANDARA 00000015 195127 09886614

01 FC:101	740.00 CH
02 FC:103	126.00 CH
03 FC:105	130.00 CH

A copy of this notice **MUST** be returned with the reply.

Customer Service Center  
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PART 1 - ATTORNEY/APPLICANT COPY

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DOCKETED 9dc  
Response Due 10-21-02  
Last Day 3-21-02

**Paul Loomis**

---

**To:** Paul Loomis  
**Subject:** RE: Addresses for inventors.

-----Original Message-----

**From:** Cherie Larson  
**Sent:** Monday, December 10, 2001 3:44 PM  
**To:** Paul Loomis  
**Subject:** RE: Addresses for inventors.

Daniel Flesner

1343 McAllister  
San Francisco, CA 94115

Seth Ladygo

1040 Harrison St.  
Denver, Co 80206

Cheers,

Cherie

-----Original Message-----

**From:** Paul Loomis  
**Sent:** Monday, December 10, 2001 1:36 PM  
**To:** Cherie Larson  
**Subject:** Addresses for inventors.

Cherie,

Please forwarded me the last known address for Daniel Flesner and Seth Ladygo. They were named as inventors for a patent application and they need to sign both a declaration and assignment forms to complete the application to the Patent and Trademark office.

Thanks,

Paul Loomis  
Manager, Intellectual Property  
Epicentric, Inc.  
The Landmark @ One Market  
One Market Street, 7th Floor  
San Francisco, CA 94905-5106  
Phone: (415) 995-3313  
Email: [ploomis@epicentric.com](mailto:ploomis@epicentric.com) <<mailto:ploomis@epicentric.com>>  
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1 From **San Francisco**

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Sender's FedEx  
Account Number

Sender's Name **Paul Leanos**

2338-7949-5

Company **EPICENTRIC**

Phone **(415) 995-3313**

Address **1 MARKET FL 7**

City **SAN FRANCISCO**

2 Your Internal Billing Reference

State **CA** ZIP **94105**

3 To

Recipient's Name **Seth Leanos**

Company

Phone **1**

Address **1040 Harrison St.**

City **Denver**

State **CO** ZIP **80206**

City **Denver**

State **CO** ZIP **80206**

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5 Packaging

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6 Special Handling

☐ Saturday Delivery

☐ Hold Weekday

☐ Hold Saturday

7 Payment

☐ No

☐ Yes

☐ Dry Ice

8 Release Signature

☐ Signature Required

☐ Signature Not Required

☐ Signature Not Required

9 Total Packages

Total Weight

Total Declared Value

10 Release Signature

☐ Signature Required

☐ Signature Not Required

☐ Signature Not Required

11 Release Signature

☐ Signature Required

☐ Signature Not Required

☐ Signature Not Required

12 Release Signature

☐ Signature Required

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16 Release Signature

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